

Is your business Fit & Proper?

Ten Four Consulting has been established to guide you through the compliance process, leaving you free to focus on your core business.

Whether you are an independent broker or part of a broker group or network, we provide a confidential and efficient service, tailored to your individual needs, based on the following services:

Services

- Compliance needs analysis
- Assistance with assessments, licensing and training
- Comprehensive compliance audits and spot checks
- Regular updates and feedback
- Electronic interface
- FSB returns
- Process plan and implementation
- Compliance Manuals

Additional Benefits

IN ADDITION, WE ADD VALUE THROUGH:

- Regular liaison with the FSB and affiliated bodies
- Summaries and updates on new and existing legislation
- Compliance checklists
- Consultation on any aspect of compliance
- Market news

Contact Details

Contact us today to analyze your compliance needs and calculate your unique fee structure.



... Your Partner in Compliance Solutions!

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